

# The Physics of Neutrinos

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# Lectures :

1. Panorama of Experiments
2. Neutrino Oscillations
3. Models for Neutrino Masses
4. Neutrinos in Cosmology

# Lecture III

Models for  
Neutrino Masses

"False facts are highly injurious to  
the progress of science, for they  
often endure long; but false views, if  
supported by some evidence, do little  
harm, for every one takes a salutary  
pleasure in proving their falseness."

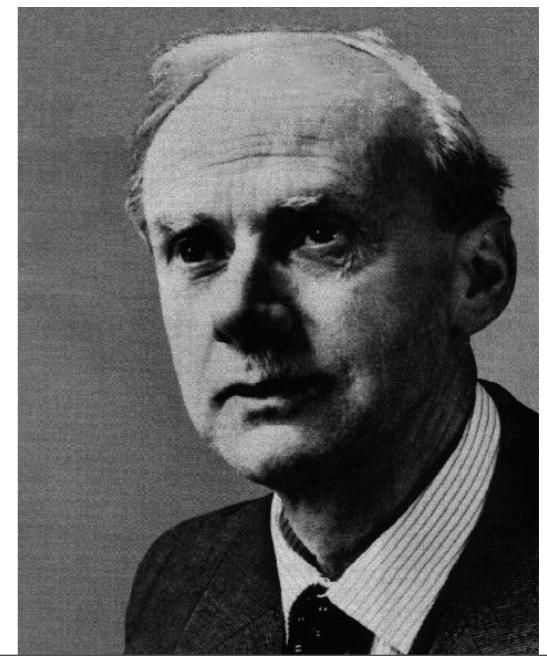
Charles Darwin



Majorana  $\nu$

$\times$

Dirac  $\nu$



# Majorana x Dirac $\nu$

Dirac spinor

$$\Psi = P_L \Psi + P_R \Psi = \Psi_L + \Psi_R \quad 4 \text{ independent components}$$

Dirac equation

$$i\gamma_\mu \partial^\mu \Psi_L = m \Psi_R$$

$$i\gamma_\mu \partial^\mu \Psi_R = m \Psi_L$$

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Dirac spinor

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Dirac equation

$$i\gamma_\mu \partial^\mu \Psi_L = m \Psi_R \quad \text{if } m = 0$$

$$i\gamma_\mu \partial^\mu \Psi_R = m \Psi_L$$

Weyl (1929)

2-component spinor is enough ( $\Psi_L$  or  $\Psi_R$ )

Pauli (1933) rejected this idea because leads to Parity Violation

Landau, Lee-Yang, Salam (1957) propose to describe the massless neutrino by a Weyl spinor  $\nu_L$  introduced in the SM in the 60's

# Majorana x Dirac ν

Can we also describe a massive fermion using a  
2-component spinor?

(E. Majorana, 1937)

# Majorana x Dirac $\nu$

Can we also describe a massive fermion using a  
2-component spinor?

(E. Majorana, 1937)

$$\Psi^c = C \bar{\Psi}^\tau \quad \text{charge conjugate field}$$

$$(\Psi_L)^c = (\Psi^c)_R \quad (\Psi_R)^c = (\Psi^c)_L$$

charge conjugation change chirality

$$i\gamma_\mu \partial^\mu (\Psi_L)^c = m(\Psi_R)^c \iff i\gamma_\mu \partial^\mu (\Psi_R)^c = m(\Psi_L)^c$$

$$\Psi_{L,R} \equiv \xi (\Psi_{R,L})^c = \xi C \bar{\Psi}_{R,L}^\tau$$

$$\xi \equiv e^{-i\alpha} \quad \text{phase factor}$$

# Majorana x Dirac $\nu$

Can we also describe a massive fermion using a  
2-component spinor? Yes! (E. Majorana, 1937)

$\xi$  is unphysical - can be eliminated by rephasing

Majorana Condition:  $\Psi \equiv (\Psi)^C$  particle = antiparticle

Majorana Field:  $\Psi = \Psi_L + \Psi_R = \Psi_L + (\Psi_L)^C$

Majorana Equation:  $i\gamma_\mu \partial^\mu \Psi_L = m C \overline{\Psi_L}^T$

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e.m. current vanishes  $Q \equiv 0$  neutral particle

$$\overline{\Psi} \gamma^\mu \Psi = \overline{\Psi^c} \gamma^\mu \Psi^c = -\Psi^T C^\dagger \gamma^\mu C \overline{\Psi}^T = \overline{\Psi} C^T \gamma^{\mu T} C^* \Psi = -\overline{\Psi} \gamma^\mu \Psi$$

# Some Properties

$$\gamma^0 \gamma^\mu \gamma^t = \gamma^\mu \gamma^0$$

$$C^\tau = C^t = C^{-1} = -C$$

$$C^{-1} \gamma^\mu = -\gamma^{\mu\tau} C^{-1}$$

$$\therefore \overline{\Psi}^c = (C \gamma^0 \Psi^*)^t \gamma^0 = \Psi^\tau \gamma^0 C^t \gamma^0 = \Psi^\tau C$$

$$C^\tau \gamma^{\mu\tau} C^* = (-C) \gamma^{\mu\tau} (-C^{-1}) = C \gamma^{\mu\tau} C^{-1}$$

$$\therefore C^\tau \gamma^{\mu\tau} C^* = -CC^{-1} \gamma^\mu = -\gamma^\mu$$

# Majorana x Dirac $\nu$

Dirac:

$$\nu(\vec{p}, h) \xrightarrow{\hat{P}} \nu(-\vec{p}, -h) \xrightarrow{\hat{C}} \bar{\nu}(-\vec{p}, -h) \xrightarrow{\hat{T}} \bar{\nu}(\vec{p}, -h)$$

LH neutrino ( $h = -1$ )

RH antineutrino ( $h = +1$ )

Majorana:

$$\nu(\vec{p}, h) \xrightarrow{\hat{P}} \nu(-\vec{p}, -h) \xrightarrow{\hat{C}} \nu(-\vec{p}, -h) \xrightarrow{\hat{T}} \nu(\vec{p}, -h)$$

LH neutrino ( $h = -1$ )

RH neutrino ( $h = +1$ )

interactions involve on LH fields

Dirac

$\nu_L$	$\swarrow$ destroys LH neutrino $\searrow$ creates RH antineutrino
$\bar{\nu}_L$	$\swarrow$ destroys RH antineutrino $\searrow$ creates LH neutrino

# Majorana x Dirac $\nu$

Dirac:

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LH neutrino ( $h = -1$ )

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LH neutrino ( $h = -1$ )

RH neutrino ( $h = +1$ )

interactions involve on LH fields

$$\begin{aligned} \nu_L &\quad \text{destroys LH neutrino} \\ &\quad \text{creates RH neutrino} \\ \bar{\nu}_L &\quad \text{destroys RH neutrino} \\ &\quad \text{creates LH neutrino} \end{aligned}$$

Majorana

# $\nu$ Mass Problem

Quarks

$u$	$c$	$t$
up	charm	top
$d$	$s$	$b$
down	strange	bottom

Standard Model

Forces

$Z$	$\gamma$
Z boson	photon

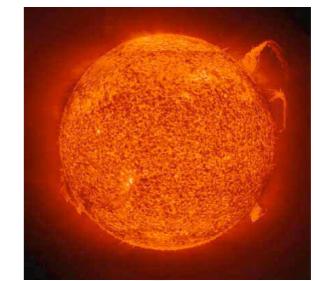
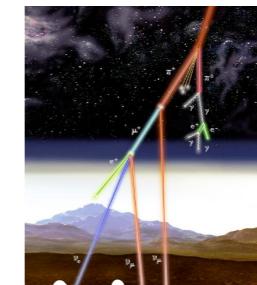
  

$W$	$g$
W boson	gluon

$e$	$\mu$	$\tau$
electron	muon	tau
$\nu_e$	$\nu_\mu$	$\nu_\tau$
electron neutrino	muon neutrino	tau neutrino

Leptons

$$m_\nu \equiv 0$$



Neutrino  
Oscillations



Physics Beyond  
the Standard Model

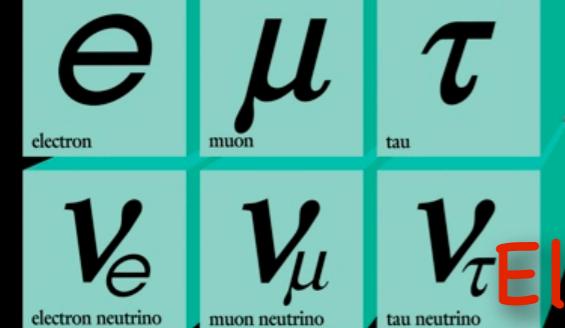
# $\nu$ Mass Problem

Quarks



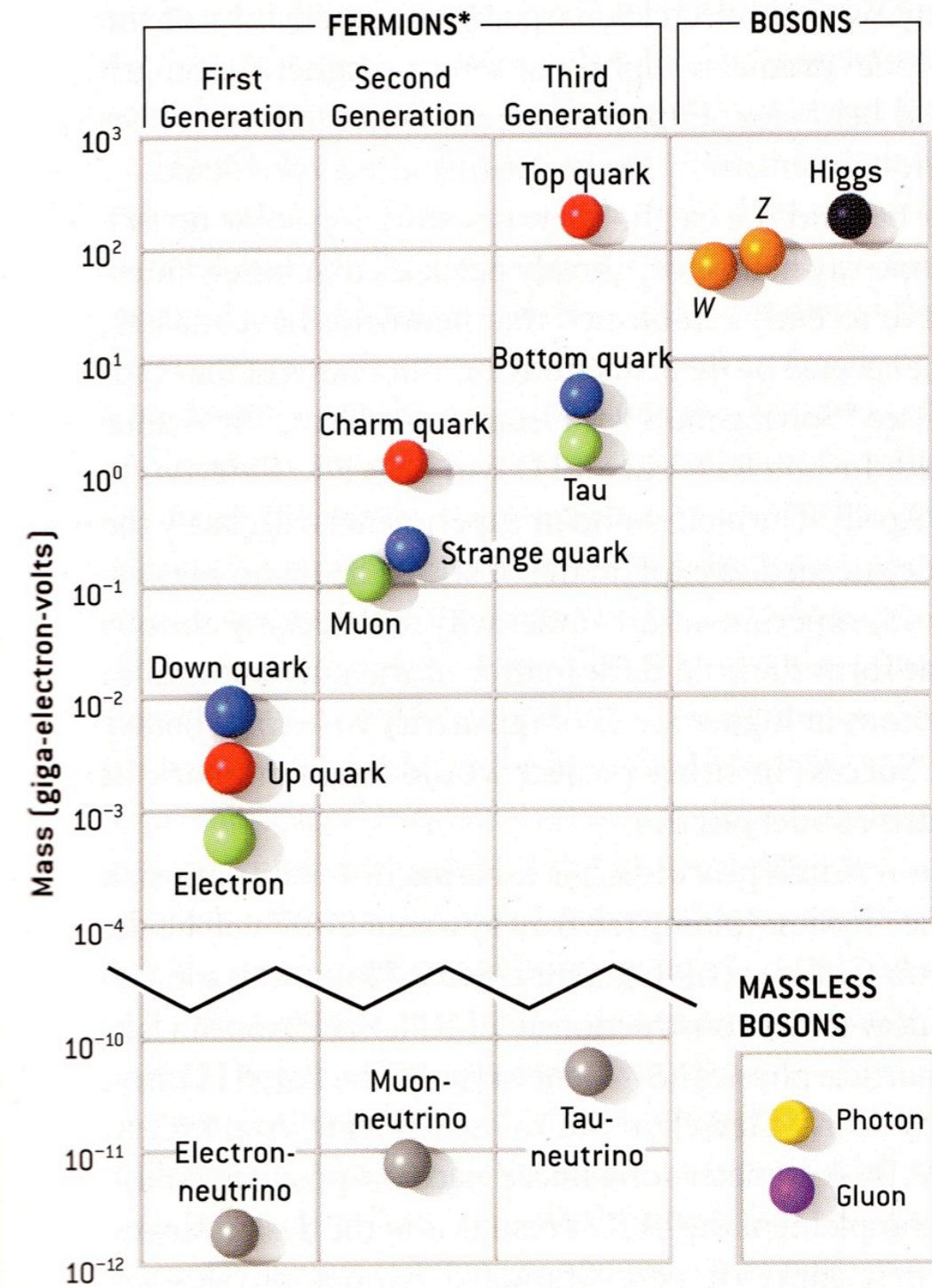
Standard Model

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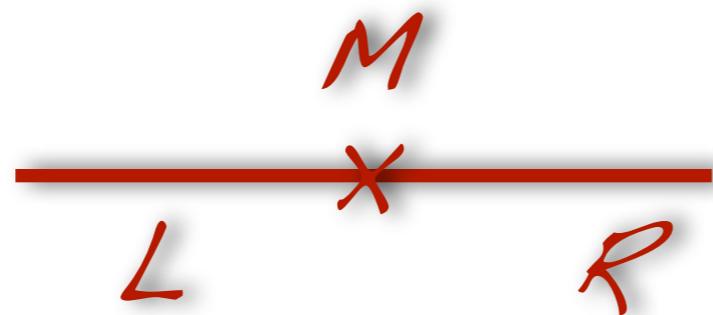


Leptons

Elementary Particle  
Masses Span  $\geq 11$   
orders of magnitude !



$\nu$  Mass Term



# ① "Poor man's" extension of the SM

If

$$\nu \neq \nu^c = C \bar{\nu}^T$$

Dirac Particle

symmetrize the model, offers no explanation to the smallness of  $M_\nu$

$$L_\alpha \equiv (2, -1/2)$$

$$E_\alpha \equiv (1, -1)$$

$$N_\alpha \equiv (1, -0)$$

$$-\mathcal{L}_Y = y_{\alpha\beta}^d \bar{Q}_\alpha \Phi D_\beta + y_{\alpha\beta}^u \bar{Q}_\alpha \tilde{\Phi} U_\beta + y_{\alpha\beta}^\ell \bar{L}_\alpha \Phi E_\beta + \text{h.c.}$$

$$+ y_{\alpha\beta}^\nu \bar{L}_\alpha \tilde{\Phi} N_\beta + \text{h.c.}$$

EWSB

Dirac Mass Term

Higgs acquires a vev



$$- m_D \bar{\nu}_L \nu_R + \text{h.c.}$$

# ① "Poor man's" extension of the SM

$$-\mathcal{L}_Y = \left( \frac{v+h}{\sqrt{2}} \right) \left[ \overline{\ell'_L} y^{\ell'} \ell'_R + \overline{N'_L} y^{\nu'} N'_R \right] + \text{h.c.}$$

$$\ell'_{L,R} \equiv \begin{pmatrix} e' \\ \mu' \\ \tau' \end{pmatrix}_{L,R}$$

$$\ell_{L,R} = V_{L,R}^{\ell\dagger} \ell'_{L,R}$$

real positive  
numbers

$$y^\ell = V_L^{\ell\dagger} y^{\ell'} V_R^\ell \quad y_{\alpha\beta}^\ell = y_\alpha^\ell \delta_{\alpha\beta}$$

unitary matrices

$$N'_{L,R} \equiv \begin{pmatrix} \nu'_e \\ \nu'_\mu \\ \nu'_\tau \end{pmatrix}_{L,R}$$

$$N_{L,R} = V_{L,R}^{\nu\dagger} N'_{L,R}$$

real positive  
numbers

$$y^\nu = V_L^{\nu\dagger} y^{\nu'} V_R^\nu \quad y_{\alpha\beta}^\nu = y_\alpha^\nu \delta_{\alpha\beta}$$

unitary matrices

# ① "Poor man's" extension of the SM

$$-\mathcal{L}_{\text{mass}}^D = \frac{V}{\sqrt{2}} \mathbf{y}_\alpha^\ell \overline{e_{\alpha L}} e_{\alpha R} + \frac{V}{\sqrt{2}} \mathbf{y}_i^\nu \overline{\nu_{i L}} \nu_{i R} + \text{h.c.}$$

charged fermions  
 masses

neutrino  
 masses

$$\ell_{L,R} \equiv \begin{pmatrix} e \\ \mu \\ \tau \end{pmatrix}_{L,R} \equiv \begin{pmatrix} e_e \\ e_\mu \\ e_\tau \end{pmatrix}_{L,R}$$

new  
 fields

$$\mathbf{N}_{L,R} \equiv \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_{L,R}$$

Yukawas have to be fine-tuned to explain smallness of neutrino masses

Ok. But what happens to the CC  
and NC ?

① "Poor man's" extension of the SM  
charged current for leptons

$$j_{W,L}^\mu = 2 \bar{\nu}'_\alpha \gamma^\mu P_L e'_\alpha = 2 \bar{\nu}'_{\alpha L} \gamma^\mu e'_{\alpha L} = 2 \bar{N}'_L \gamma^\mu \ell'_L$$

chiral flavor diagonal interaction

$$= 2 \bar{N}_L \boxed{V_L^{\nu^\dagger} V_L^\ell} \gamma^\mu \ell_L = 2 \bar{\nu}_{iL} \boxed{U_{\alpha i}^*} \gamma^\mu e_{\alpha L}$$

Mixing Matrix (Pontecorvo, Maki, Sakata, Nakagawa)

define LH flavor neutrinos as

$$\nu_{\alpha L} = U_{\alpha i} \nu_{iL}$$

Mixing  $\Rightarrow$  family Lepton Number ( $L_e, L_\mu, L_\tau$ ) violated  
but Total Lepton Number ( $L$ ) conserved

① "Poor man's" extension of the SM  
neutral current for neutrinos

$$\begin{aligned} j_{Z,\nu}^\mu &= \bar{\nu}_{\alpha L} \gamma^\mu \nu_{\alpha L} && \text{chiral flavor diagonal interaction} \\ &= \bar{\nu}_{iL} \gamma^\mu \nu_{iL} && \text{No Mixing here !} \end{aligned}$$

NC is the same (GIM Mechanism)

[S.L.Glashow, J. Iliopoulos, L. Maiani, Phys. Rev. D 2, 1285 (1970)]

$\nu_R$  is sterile !

# $L_\alpha$ Violating Processes

Dirac mass term allows for  $\cancel{L}_e, \cancel{L}_\mu, \cancel{L}_\tau$

processes such as:  $\mu^\pm \rightarrow e^\pm \gamma$  or  $\mu^\pm \rightarrow e^\pm e^+ e^-$

$$\text{eg. } \mu^\pm \rightarrow e^\pm \gamma$$

$$\sum_j U_{\mu j}^* U_{ej} = 0$$

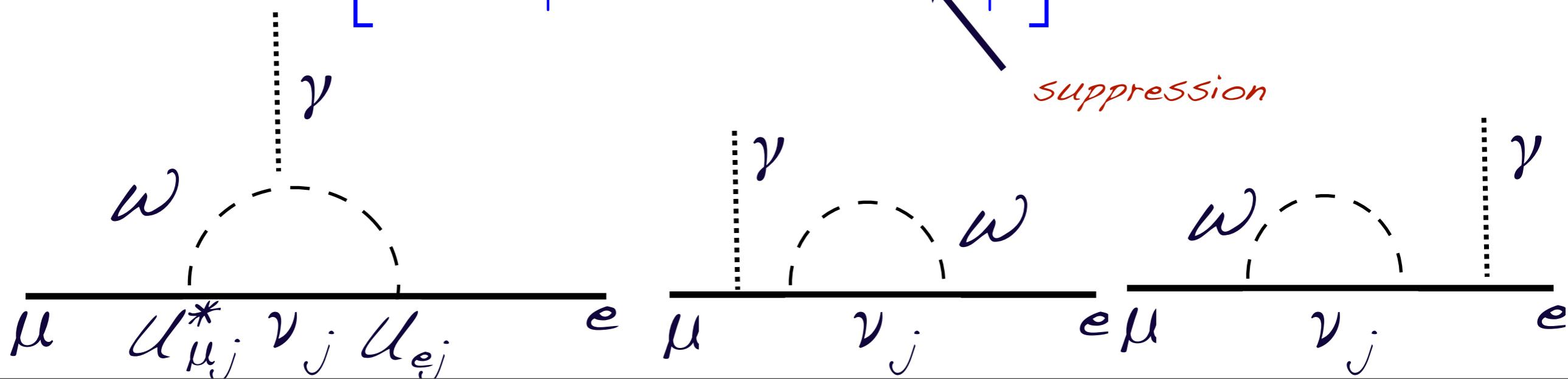
GIM Mechanism

$$\Gamma = \frac{G_F m_\mu^5}{192\pi^3} \left[ \frac{3\alpha_{em}}{32\pi} \left| \sum_j U_{\mu j}^* U_{ej} \frac{m_{\nu_i}}{M_W} \right|^2 \right]$$

SM:  $BR \leq 10^{-25}$

$BR_{exp} \leq 2.4 \times 10^{-12}$

suppression



# Phases of $\mathcal{U}$

$$j_{W,L}^\mu = 2 \overline{\nu_{iL}} U_{\alpha i}^* \gamma^\mu e_{\alpha L}$$

*Can re-phase*     $e_{\alpha L} \rightarrow e^{i\phi_\alpha} e_{\alpha L}$                  $\nu_{iL} \rightarrow e^{i\phi_i} \nu_{iL}$

$$j_{W,L}^\mu = 2 \overline{\nu_{iL}} e^{-i(\phi_1 - \phi_e)} e^{-i(\phi_i - \phi_1)} e^{i(\phi_\alpha - \phi_e)} U_{\alpha i}^* \gamma^\mu e_{\alpha L}$$

1                       $N-1$                $N-1$

$1 + 2(N-1) = 2N-1$  phases can be arbitrarily chosen

$N=3 \rightarrow 5$  phases can be eliminated from  $\mathcal{U}$   
only 1 physical phase

# Basic Points :

- we need to introduce singlet R neutrino fields ( $\nu_R$ )
- we make use of the SM Higgs Mechanism
- $\mathcal{L}_{\text{mass}}^D = -m\bar{\nu}\nu = -m(\bar{\nu}_R \nu_L + \bar{\nu}_L \nu_R)$
- mass hierarchy problem remains  $m_j^\nu = \frac{y_j^\nu v}{\sqrt{2}}$
- $L_e, L_\mu, L_\tau$  are violated
- $L$  is conserved (exact global symmetry at the classical level, just like  $B$ )
- generates a mixing matrix analogous to  $V_{CKM}$

② + Clever extensions of the SM

If  $\nu = \nu^c = C \bar{\nu}^T$  Majorana Particle

if we introduce  $\nu_R$  we can have

Majorana Mass Term

$$-\frac{1}{2} m_R \overline{\nu_R^c} \nu_R + h.c.$$

L is violated  
by 2 units

$P_L \nu_R^c = \nu_R^c$  this is invariant under  $SU(2)_L \times U(1)_Y$

② + Clever extensions of the SM

If

$$\nu = \nu^c = C \bar{\nu}^T$$

Majorana Particle

if we don't introduce  $\nu_R$  we can have

Majorana Mass Term

$$-\frac{1}{2} m_L \bar{\nu}_L^c \nu_L + h.c.$$

L is violated  
by 2 units

$$P_R \nu_L^c = \nu_L^c$$

but not invariant under  $SU(2)_L \times U(1)_Y$   
need to extend the SM ...

# Majorana Mass Term

we can write a Majorana mass term with only  $\nu_L$

(or  $\nu_R$ )

$$P_R \nu_L^c = \nu_L^c$$

$$\nu^c = \nu \implies \nu = \nu_L + \nu_L^c \implies \mathcal{L}_{\text{mass}}^{\text{ML}} = -\frac{1}{2} m_L \bar{\nu}_L^c \nu_L + \text{h.c.}$$

the  $1/2$  factor avoids double counting since  $\nu_L$  and  $\nu_L^c$

are not independent

$$\mathcal{L}^{\text{ML}} = \frac{1}{2} [\bar{\nu}_L i \not{\partial} \nu_L + \bar{\nu}_L^c i \not{\partial} \nu_L^c - m_L (\bar{\nu}_L^c \nu_L + \bar{\nu}_L \nu_L^c)]$$

$$\mathcal{L}_{\text{mass}}^{\text{ML}} = \frac{m_L}{2} \left( \nu_L^T C^\dagger \nu_L + \nu_L^\dagger C \nu_L^* \right)$$

# Basic Points:

- no need to introduce singlet R fields ( $\nu_R$ )
- use  $\nu_R \rightarrow \nu_L^c = C \bar{\nu}_L^T$  and  $\nu = \nu^c$
- $\nu = \nu_L + \nu_R = \nu_L + C \bar{\nu}_L^T$
- $$\mathcal{L}_{\text{mass}}^{\text{ML}} = -\frac{m}{2} (\bar{\nu}_L^c \nu_L + \text{h.c.})$$
- need a Higgs triplet ( $Y=1$ ) to form a  $SU(2)_L \otimes U(1)_Y$  invariant term ( $L \Delta L$ )
- $L_e, L_\mu, L_\tau$  are violated
- $L$  is also violated by 2 units

The most general mass term is a  
Dirac-Majorana Mass Term

$$\mathcal{L}_{\text{mass}}^{\text{D+M}} = \mathcal{L}_{\text{mass}}^{\text{D}} + \mathcal{L}_{\text{mass}}^{\text{ML}} + \mathcal{L}_{\text{mass}}^{\text{MR}}$$

$$\mathcal{L}_{\text{mass}}^{\text{D}} = -\mathbf{m}_D \bar{\nu}_R \nu_L + \text{h.c.} \quad \text{Dirac Mass Term}$$

$$\mathcal{L}_{\text{mass}}^{\text{ML}} = \frac{1}{2} \mathbf{m}_L \nu_L^T \mathbf{C}^\dagger \nu_L + \text{h.c.} \quad \text{Majorana Mass Term}$$

$$\mathcal{L}_{\text{mass}}^{\text{MR}} = \frac{1}{2} \mathbf{m}_R \nu_R^T \mathbf{C}^\dagger \nu_R + \text{h.c.} \quad \text{Majorana Mass Term}$$

# Mixing in General

$$\mathbf{N}'_{\mathbf{L}} \equiv \begin{pmatrix} \nu'_L \\ \nu'_R^c \end{pmatrix} \quad \nu'_{\mathbf{L}} \equiv \begin{pmatrix} \nu'_{eL} \\ \nu'_{\mu L} \\ \nu'_{\tau L} \end{pmatrix} \quad \nu'_{\mathbf{R}} \equiv \begin{pmatrix} \nu'_{1R}^c \\ \vdots \\ \nu'_{N_s R}^c \end{pmatrix}$$

$$\mathcal{L}_{\text{mass}}^{\text{D+M}} \equiv \frac{1}{2} \mathbf{N}'_{\mathbf{L}}^T \mathbf{C}^\dagger \mathbf{M}^{\text{D+M}} \mathbf{N}'_{\mathbf{L}} + \text{h.c.} \quad \mathbf{M}^{\text{D+M}} = \begin{pmatrix} \cancel{M^L} & (M^D)^T \\ M^D & M^R \end{pmatrix}$$

- Diagonalization of the Dirac-Majorana Mass Term  $\Rightarrow$  Massive Majorana Neutrinos

seesaw formula:  $(M_i \gg m_D)_i \Rightarrow m_\nu = -m_D \frac{1}{M^R} m_D^T$

$m_D$ ,  $m_\nu$  and  $M^R$  are complex matrices  $\Rightarrow$  natural source of  $CP$  violation

## ⇒ Leptogenesis

- 3 new heavy RH neutrinos  $N_1, N_2, N_3$  with masses  $M_{\text{ew}} \ll M_1 \leq M_2 \leq M_3$

next week ...

# Dirac-Majorana

$$M^{D+M} = \begin{pmatrix} 0 & (M^D)^T \\ M^D & M^R \end{pmatrix}$$

complex symmetric matrix

$M_D$  is a  $3 \times m$  complex matrix       $M_R$  is a  $m \times m$  symmetric matrix

(a) mass eigenvalues of  $M^R \gg v \Rightarrow$  framework of seesaw mechanism sterile neutrinos integrated out & get a low energy effective theory with 3 light active Majorana neutrinos

(b) some mass eigenvalues of  $M^R \leq v \Rightarrow$  more than 3 light Majorana neutrinos

(c)  $M^R = 0 \Rightarrow$  equivalent to impose L conservation,  $m=3$  and we can identify the 3 sterile neutrinos c/ RH components of the LH fields (Dirac Neutrinos)

Neutrino Mass  
&  
The Standard Seesaw  
Mechanisms

# Effective Lagrangian Perspective

*SM is an Effective Lower Energy Theory*

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \delta\mathcal{L}^{d=5} + \delta\mathcal{L}^{d=6} + \dots$$

non-renormalizable higher-dimension operators invariant under  $SU(2)_L \times U(1)_Y$   
 made of SM fields active @ low energies with coefficients (model dependent)  
 weighted by inverse powers of  $\Lambda$  (new physics scale)

$$\delta\mathcal{L}^{d=5} = \frac{g}{\Lambda} (\mathbf{L}^T \sigma_2 \Phi) \mathbf{C}^\dagger (\Phi^T \sigma_2 \mathbf{L}) + \text{h.c.}$$

[S. Weinberg, Phys. Rev. Lett. 43, 1566 (1979)]      only possible  $d=5$  operator

$$\delta\mathcal{L}^{d=5} \xrightarrow{\text{EWSB}} \delta\mathcal{L}_{\text{mass}}^M = \frac{1}{2} \left( \frac{gv^2}{\Lambda} \right) \nu_L^T \mathbf{C}^\dagger \nu_L + \text{h.c.}$$

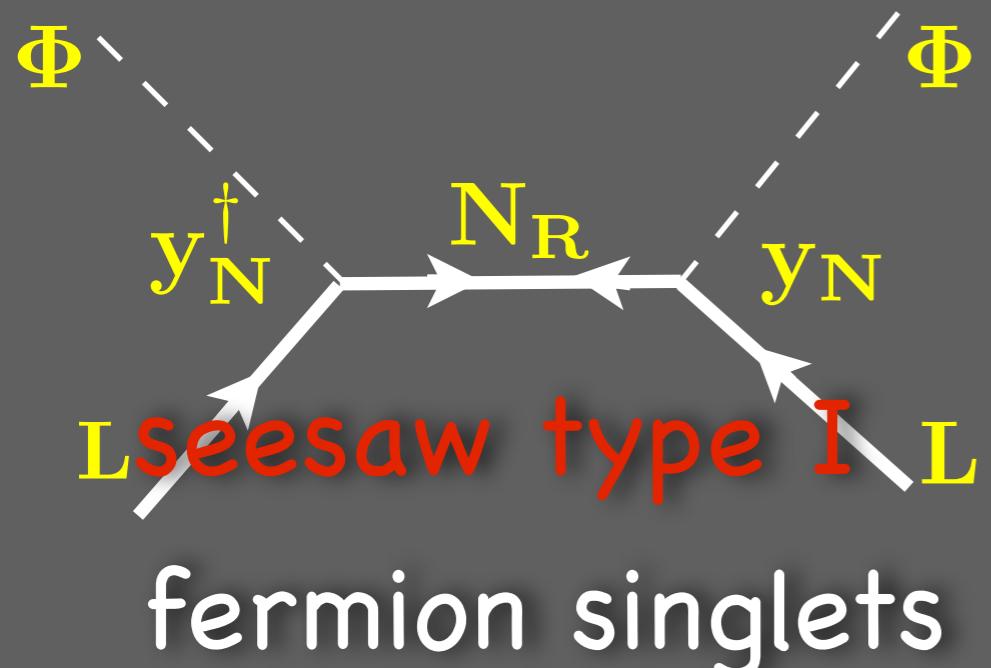
Majorana Mass

# Tree-level Realizations

[E. Ma, Phys. Rev. Lett. 81, 1171 (1998)]

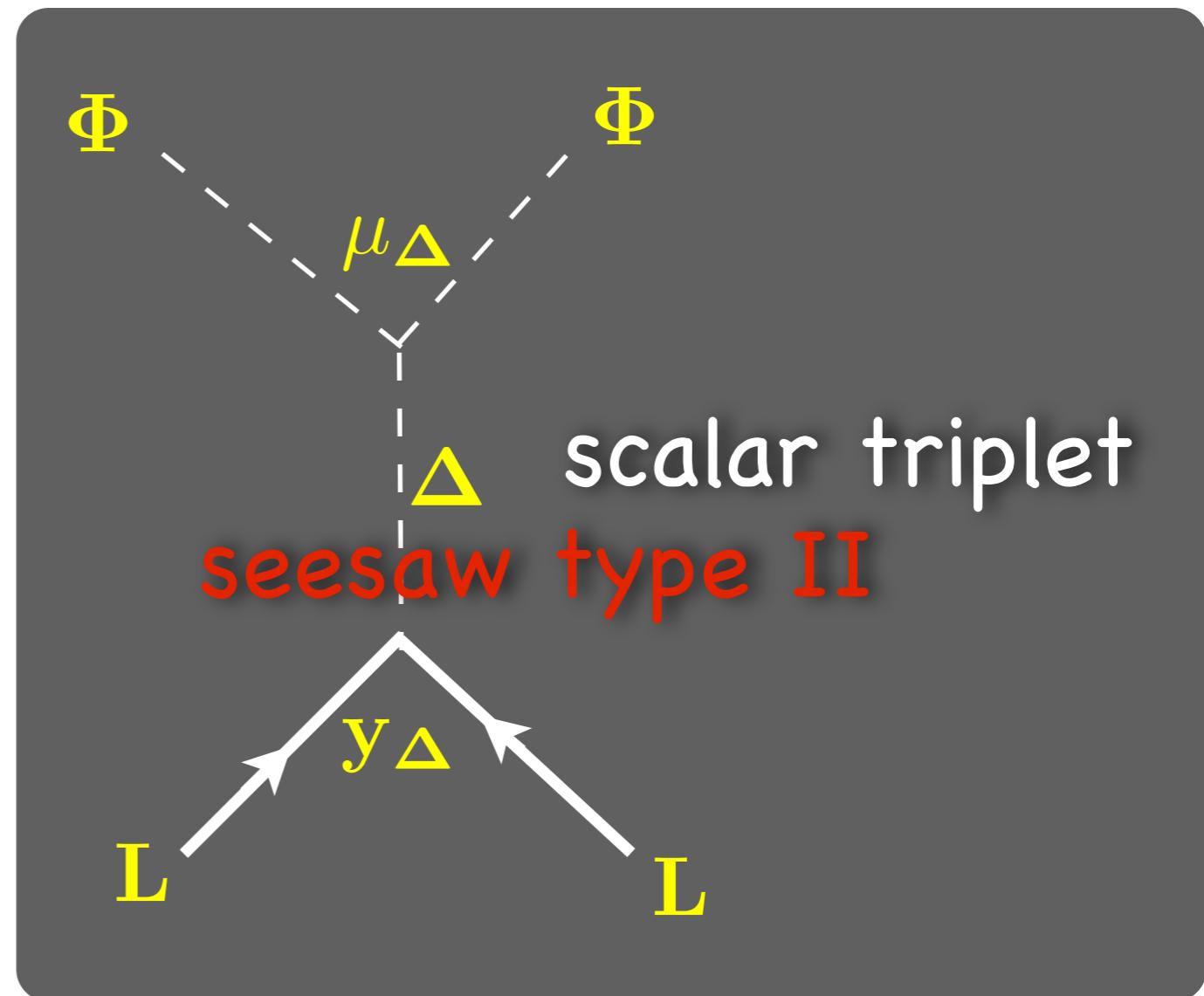
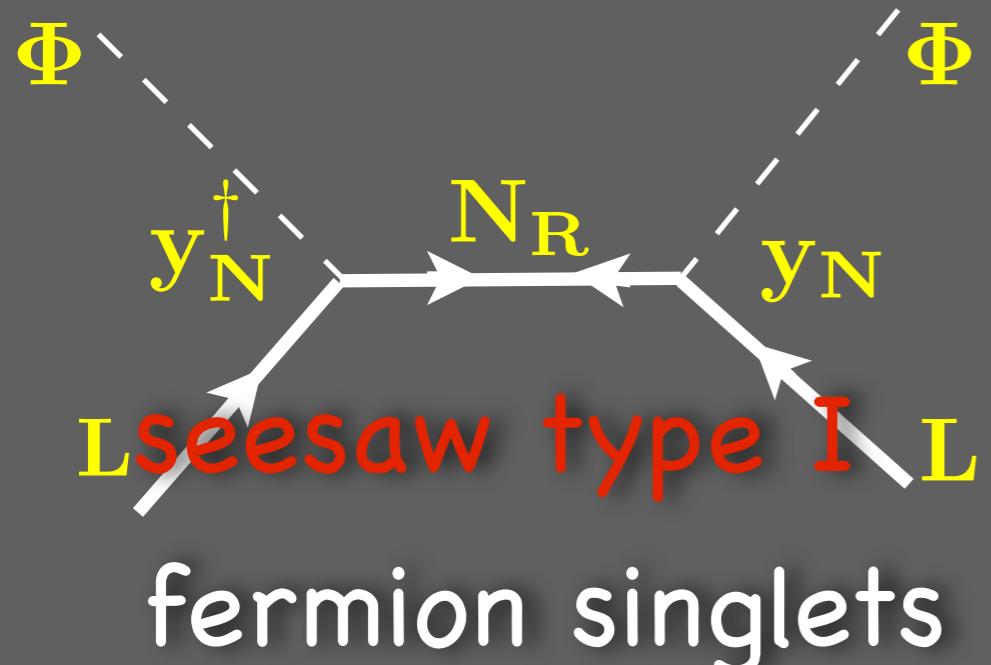
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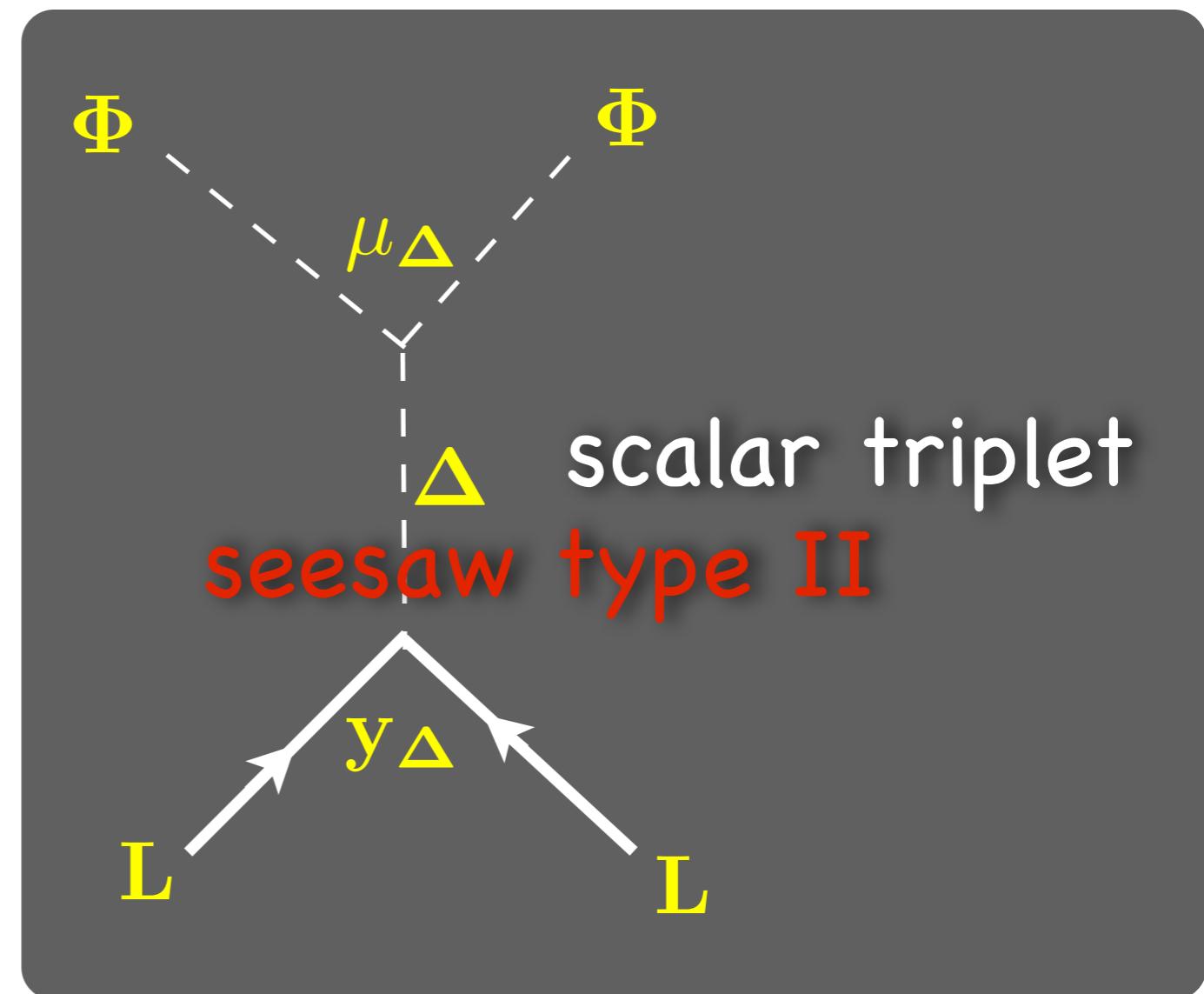
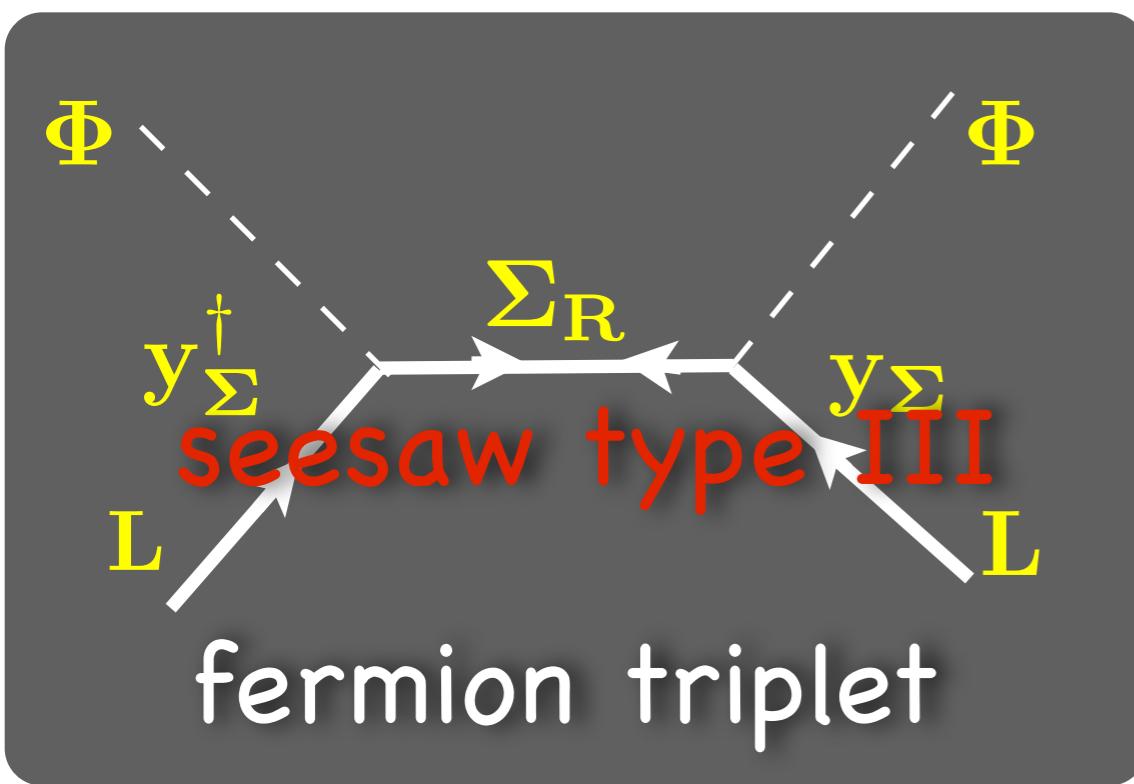
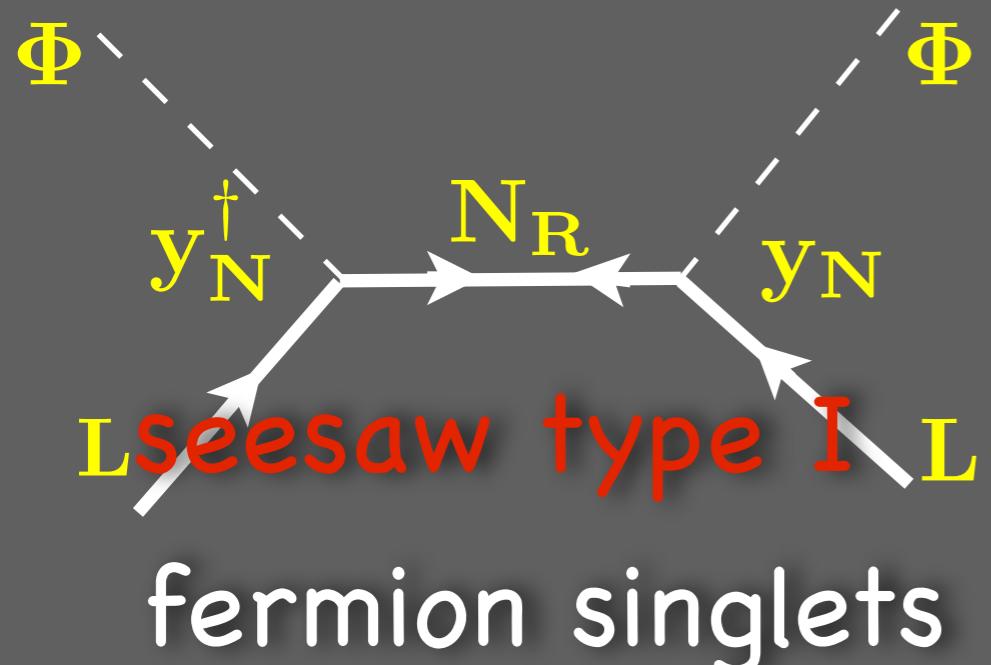
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# Effective Lagrangian Perspective

integrate out the heavy fields

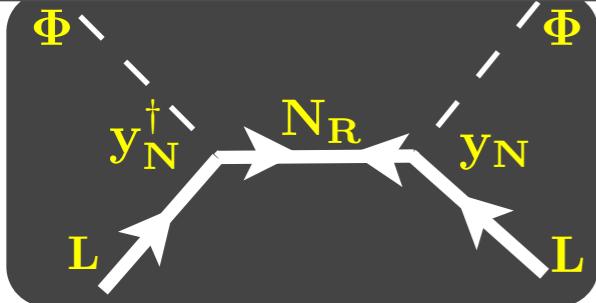
$$e^{iS_{\text{eff}}} = \exp \left\{ i \int d^4x \mathcal{L}_{\text{eff}}(x) \right\}$$

$$\equiv \int \mathcal{D}N \mathcal{D}\bar{N} e^{iS} = e^{iS_{\text{SM}}} \int \mathcal{D}N \mathcal{D}\bar{N} e^{iS_N}$$

effective Lagrangian obtained by functional integration over heavy fields

$S_N[N_0]$  :  $N_0$  is the solution of the classical equations of motion for the N-field

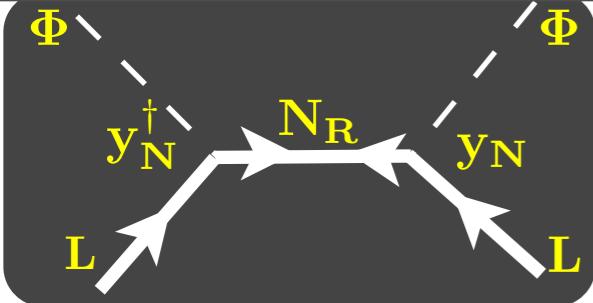
$$\frac{1}{\cancel{D}-M} = -\frac{1}{M} + \frac{1}{M} \cancel{D} \frac{1}{M} + \dots \quad \text{fermions}$$



# Seesaw Type I

[P. Minkowski, Phys. Lett. B 67, 421 (1977); M. Gell-Mann, P. Ramond and R. Slansky, Supergravity (1979); T. Yanagida, Proc. Workshop on the Univ. Theo. and the Baryon Numb. in the Univ. (1979); R.N. Mohapatra and G. Senjanovic, Phys. Rev. Lett. 44, 912 (1980) ]

minimal seesaw Lagrangian: only add  $R$  neutrinos to SM



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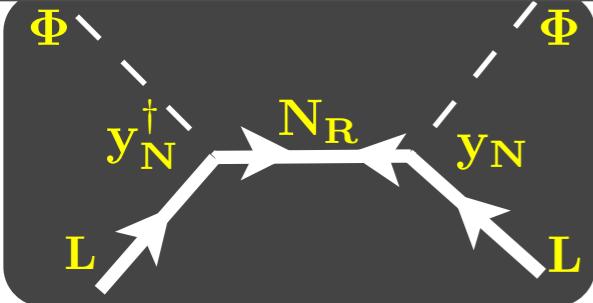
minimal Seesaw Lagrangian: only add R neutrinos to SM

$$\mathcal{L}_{KE} = i \overline{L} \not{\partial} L + i \overline{R} \not{\partial} R + i \overline{N}_R \not{\partial} N_R$$

SM lepton doublets    SM lepton singlets    R neutrino singlets

$$\mathcal{L}_Y = -\overline{L} \Phi y_\ell R - \overline{L} \tilde{\Phi} y_N^\dagger N_R - \frac{1}{2} \overline{N}_R M_R N_R^c + h.c.$$

New Physics Scale



# Seesaw Type I

[P. Minkowski, Phys. Lett. B 67, 421 (1977); M. Gell-Mann, P. Ramond and R. Slansky, Supergravity (1979); T. Yanagida, Proc. Workshop on the Univ. Theo. and the Baryon Numb. in the Univ. (1979); R.N. Mohapatra and G. Senjanovic, Phys. Rev. Lett. 44, 912 (1980) ]

minimal Seesaw Lagrangian: only add R neutrinos to SM

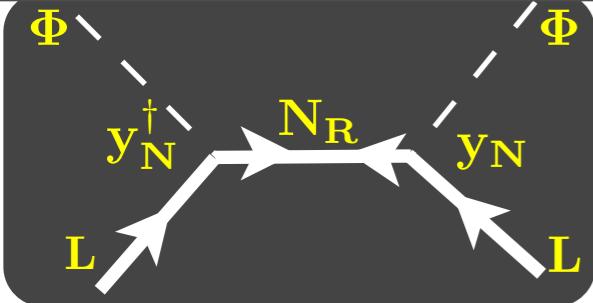
$$\mathcal{L}_{KE} = \imath \bar{L} \not{\partial} L + \imath \bar{R} \not{\partial} R + \imath \bar{N}_R \not{\partial} N_R$$

SM lepton doublets    SM lepton singlets    R neutrino singlets

$$\mathcal{L}_Y = -\bar{L} \Phi y_\ell R - \bar{L} \tilde{\Phi} y_N^\dagger N_R - \frac{1}{2} \bar{N}_R M_R N_R^c + h.c.$$

New Physics Scale

$$m_\nu \equiv \frac{g}{\Lambda} v^2 = -\frac{1}{2} \bar{y}_N^T \frac{1}{M_R} y_N v^2$$



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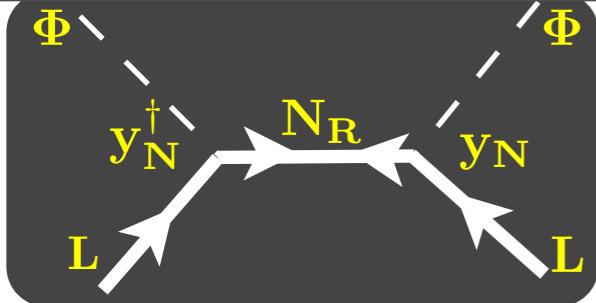
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New Physics Scale

$$m_\nu \equiv \frac{g}{\Lambda} v^2 = -\frac{1}{2} \bar{y}_N^T \frac{1}{M_R} y_N v^2$$

$M_R$  should be of the order  $10^{11}$  TeV ( $10^5$  TeV) for  $y_N \approx 1$  ( $y_N \approx 10^{-3}$ )



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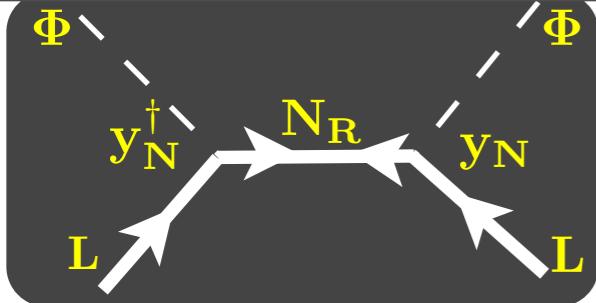
$$\mathcal{L}_Y = -\bar{L} \Phi y_\ell R - \bar{L} \tilde{\Phi} y_N^\dagger N_R - \frac{1}{2} \bar{N}_R M_R N_R$$

New Physics c

$$m_\nu \equiv \frac{g}{M_R} y_N v^2$$

Needs 3  $N_R$  to give mass to  $\nu$

Mass scale of the order  $10^{11}$  TeV ( $10^5$  TeV) for  $y_N \approx 1$  ( $y_N \approx 10^{-3}$ )



# Seesaw Type I

[A. Broncano, M.B. Gavela and E.E. Jenkins, Phys. Lett. B 552, 177 (2003); Nucl. Phys. B 672, 163 (2003) ]

only one  $d=6$  tree level operator

$$\delta\mathcal{L}^{d=6} = g^{d=6} (\bar{L} \tilde{\Phi}) i\not{\partial} (\tilde{\Phi}^\dagger L)$$

$\xrightarrow[EWSB]$

corrections to  $d=4$  KE  
terms of L leptons

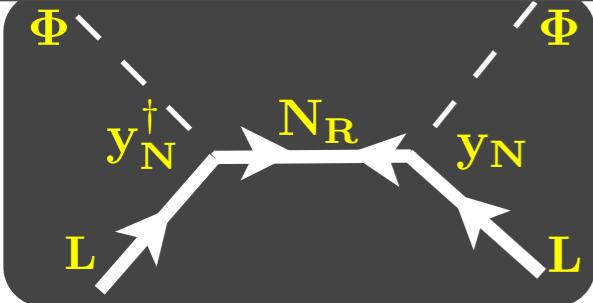
where

$$g^{d=6} = y_N^\dagger \frac{1}{M_R^\dagger} \frac{1}{M_R} y_N$$

quadratically suppressed

$\Downarrow$

non-unitary low-energy  
leptonic mixing matrix



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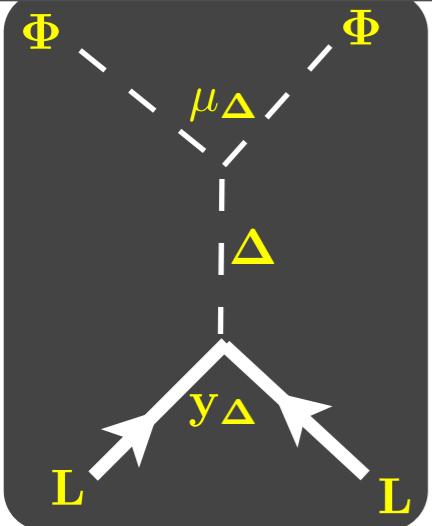
quadratically suppressed

$\Downarrow$

non-unitary low-energy  
leptonic mixing matrix

$$U \rightarrow N = \left(1 - \frac{\epsilon}{2}\right) U \quad NN^\dagger = (1 - \epsilon) \quad N^\dagger N = U^\dagger (1 - \epsilon) U$$

with  $\epsilon \equiv \frac{v^2}{2} g^{d=6}$   $j_{Z,\nu}^\mu \equiv \frac{1}{2} \bar{\nu}_i \gamma^\mu (N^\dagger N)_{ij} \nu_j$



# Seesaw Type II

[M. Magg and C. Wetterich, Phys. Lett. B 94, 61 (1980); J. Schechter and J.W.F. Valle, Phys. Rev. D 22, 2227 (1980); R.N. Mohapatra and G. Senjanovic, Phys. Rev. D 23, 165 (1981)]

add  $SU(2)_L$  triplet scalar field  $\vec{\Delta}$  ( $Y=1$ )

minimal Lagrangian gauge invariant allows for

$$\mathcal{L}_{\Delta, (L, \Phi)} = \tilde{\bar{L}} y_{\Delta} (\vec{\sigma} \cdot \vec{\Delta}) L + \mu_{\Delta} \tilde{\Phi}^{\dagger} (\vec{\sigma} \cdot \vec{\Delta})^{\dagger} \Phi + \text{h.c.}$$

coupling to SM lepton doublets      coupling to SM Higgs doublet

$$\vec{\Delta} = (\Delta_1, \Delta_2, \Delta_3)$$

where

$$\tilde{\bar{L}} = i \sigma_2 (L)^c$$

physical fields

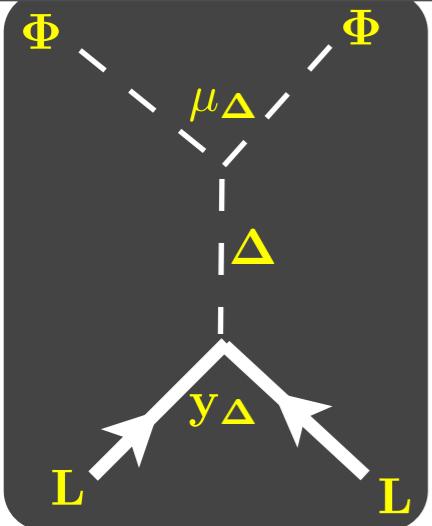
$$\langle \Delta^0 \rangle = \mathbf{u}/\sqrt{2} = \mu_{\Delta} \mathbf{v}^2 / (\sqrt{2} M_{\Delta}^2)$$

$$\Delta^{++} \equiv \frac{1}{\sqrt{2}}(\Delta_1 - i\Delta_2) \quad \Delta^+ \equiv \Delta_3 \quad \Delta^0 \equiv \frac{1}{\sqrt{2}}(\Delta_1 + i\Delta_2)$$

$$m_{\nu} \equiv \frac{g}{\Lambda} v^2 = -2 y_{\Delta} u = -2 y_{\Delta} \frac{\mu_{\Delta}}{M_{\Delta}^2} v^2$$

New Physics Scale

Majorana Mass  
Matrix for light  
neutrinos



# Seesaw Type II

[M. Magg and C. Wetterich, Phys. Lett. B 94, 61 (1980); J. Schechter and J.W.F. Valle, Phys. Rev. D 22, 2227 (1980); R.N. Mohapatra and G. Senjanovic, Phys. Rev. D 23, 165 (1981)]

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coupling to SM lepton doublets      coupling to SM Higgs doublet

$$\vec{\Delta} = (\Delta_1, \Delta_2, \Delta_3)$$

physical fields

where

$$\tilde{L} = i \sigma_2 / (2 M_{\Delta})$$

$$\langle \Delta^0 \rangle = \Delta_1 + \Delta_2 + \Delta_3 / (\sqrt{2} M_{\Delta}^2)$$

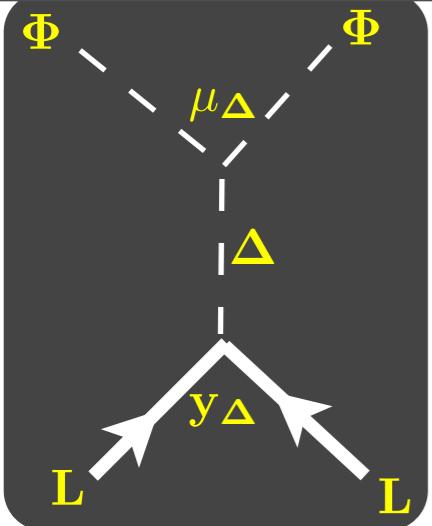
$$\Delta^{++} \equiv \frac{1}{\sqrt{2}} (\Delta_1 - \Delta_2 - \Delta_3) \quad \Delta^0 \equiv \frac{1}{\sqrt{2}} (\Delta_1 + i \Delta_2)$$

One  $\Delta$  can give mass to  $3 \nu$

$$-\frac{1}{\Lambda} v^2 = -2 y_{\Delta} u = -2 y_{\Delta} \frac{\mu_{\Delta}}{M_{\Delta}^2} v^2$$

New Physics Scale

Majorana Mass  
Matrix for light  
neutrinos



# Seesaw Type II

[A. Abada, C. Biggio, F. Bonnet, M. B. Gavela and T. Hambye, JHEP 12, 061 (2007)]

three  $d=6$  tree level operators

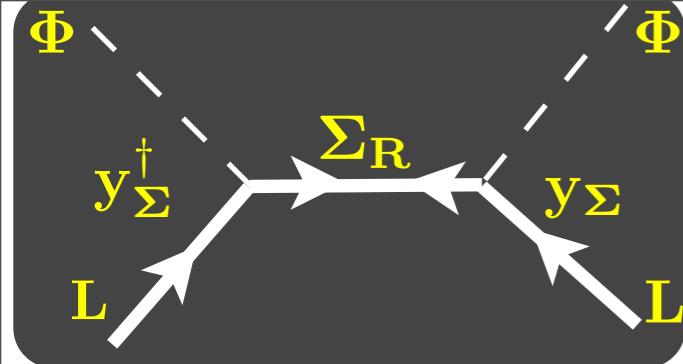
$$\delta \mathcal{L}_{4L} = \frac{1}{M_\Delta^2} \left( \bar{\tilde{L}} y_\Delta \vec{\sigma} L \right) \left( \bar{L} \vec{\sigma} y_\Delta^\dagger \tilde{L} \right)$$

$$\delta \mathcal{L}_{6\Phi} = -2(\lambda_3 + \lambda_5) \frac{|\mu_\Delta|^2}{M_\Delta^4} (\Phi^\dagger \Phi)^3$$

$$\delta \mathcal{L}_{\Phi D} = \frac{|\mu_\Delta|^2}{M_\Delta^4} \left( \Phi^\dagger \vec{\sigma} \tilde{\Phi} \right) \left( \tilde{D}_\mu \tilde{D}^\mu \right) \left( \tilde{\Phi}^\dagger \vec{\sigma} \Phi \right)$$

many deviations from SM predictions  
but no non-unitary mixing matrix !

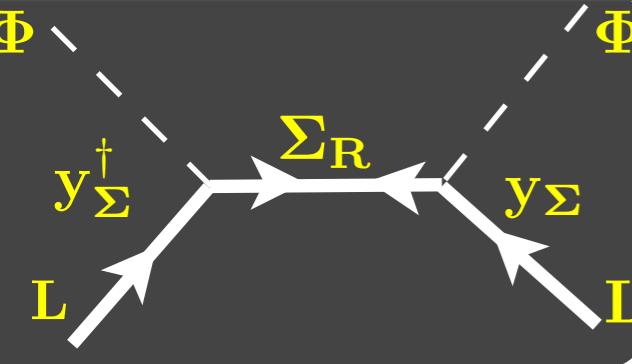
[ $G_F$  gets a correction,  $\nu$  gets shifted,  $M_Z$  gets a correction etc.]



# Seesaw Type III

[R. Foot, H. Lew, X.G. He and G.C. Joshi, Z. Phys. C 44, 441 (1989); E. Ma, Phys. Rev. Lett. 81, 1171 (1998) ]

add  $SU(2)_L$  fermion triplet  $\vec{\Sigma}$  ( $Y=0$ )



# Seesaw Type III

[R. Foot, H. Lew, X.G. He and G.C. Joshi, Z. Phys. C 44, 441 (1989); E. Ma, Phys. Rev. Lett. 81, 1171 (1998) ]

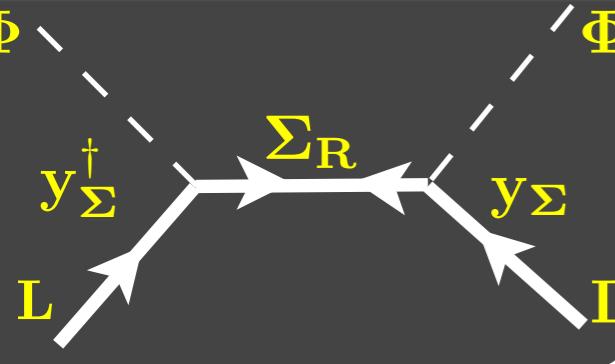
add  $SU(2)_L$  fermion triplet  $\vec{\Sigma}$  ( $Y=0$ )

$$\mathcal{L}_\Sigma = i \overline{\vec{\Sigma}_R} \not{\partial} \vec{\Sigma}_R - \left[ \frac{1}{2} \overline{\vec{\Sigma}_R} M_\Sigma \vec{\Sigma}_R^c + \overline{\vec{\Sigma}_R} y_\Sigma (\tilde{\Phi}^\dagger \vec{\sigma} L) + \text{h.c.} \right]$$

Majorana Mass Term                  coupling with L and  $\Phi$

$$\vec{\Sigma} = (\Sigma_1, \Sigma_2, \Sigma_3)$$

$$\Sigma^\pm \equiv \frac{1}{\sqrt{2}}(\Sigma_1 \mp i \Sigma_2) \quad \Sigma^0 \equiv \Sigma_3$$



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if  $M_\Sigma \gg v$

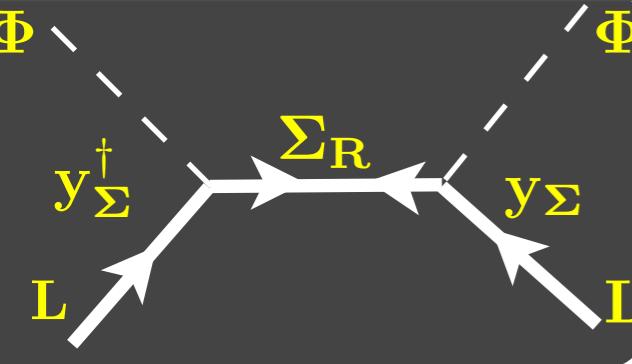
EWSB



$$\mathbf{m}_\nu \equiv \frac{\mathbf{g}}{\Lambda} \mathbf{v}^2 = - \mathbf{y}_\Sigma^\text{T} \frac{1}{2 M_\Sigma} \mathbf{y}_\Sigma \mathbf{v}^2$$

New Physics Scale

# Majorana Mass Matrix for light neutrinos



# Seesaw Type III

[R. Foot, H. Lew, X.G. He and G.C. Joshi, Z. Phys. C 44, 441 (1989); E. Ma, Phys. Rev. Lett. 81, 1171 (1998) ]

add  $SU(2)_L$  fermion triplet  $\vec{\Sigma}$  ( $Y=0$ )

$$\mathcal{L}_\Sigma = i \overline{\vec{\Sigma}_R} \not{D} \vec{\Sigma}_R - \left[ \frac{1}{2} \overline{\vec{\Sigma}_R} M_\Sigma \vec{\Sigma}_R^c + \overline{\vec{\Sigma}_R} y_\Sigma (\tilde{\Phi}^\dagger \vec{\sigma} L) + \text{h.c.} \right]$$

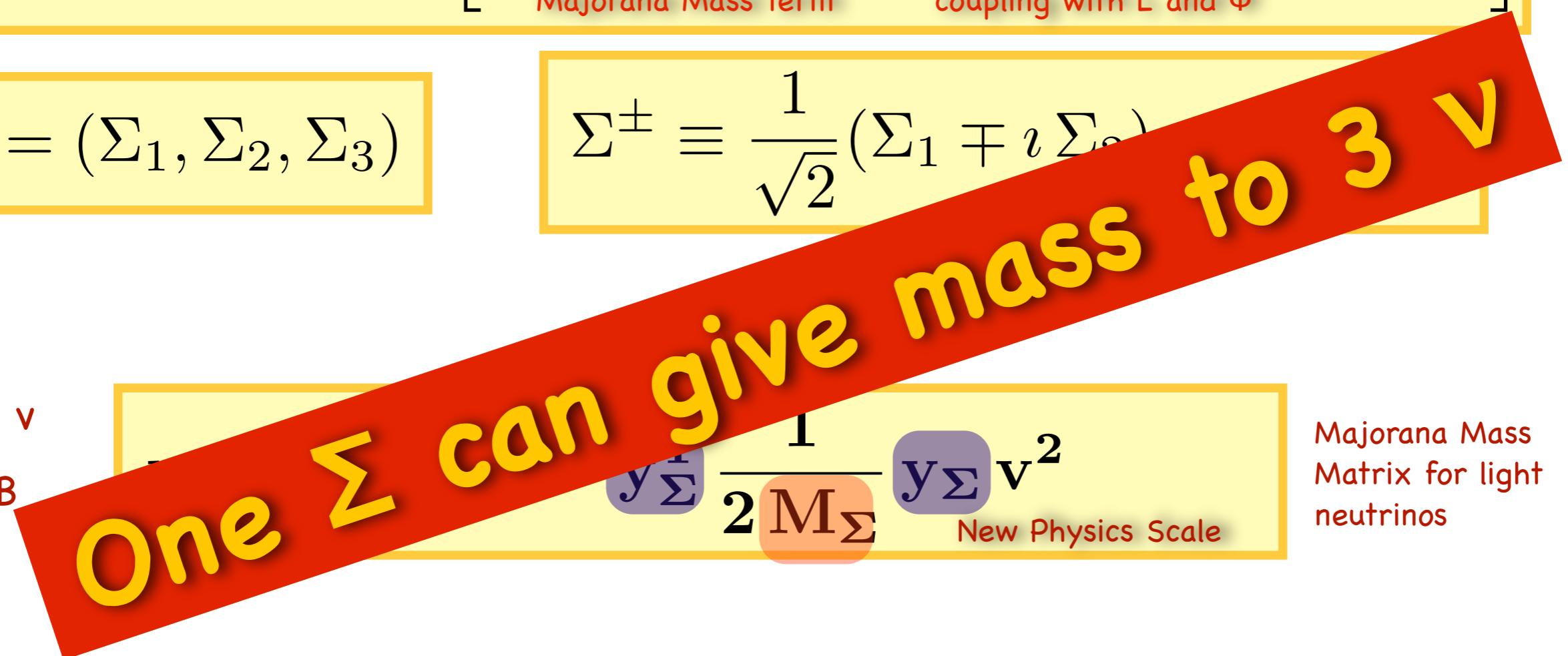
Majorana Mass Term      coupling with L and  $\Phi$

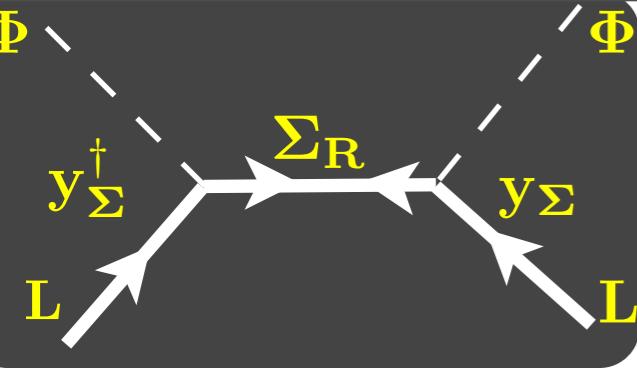
$$\vec{\Sigma} = (\Sigma_1, \Sigma_2, \Sigma_3)$$

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if  $M_\Sigma \gg v$

EWSB





# Seesaw Type III

[A. Abada, C. Biggio, F. Bonnet, M. B. Gavela and T. Hambye, JHEP 12, 061 (2007)]

only one  $d=6$  tree level operator

$$\delta \mathcal{L}^{d=6} = g^{d=6} (\bar{L} \vec{\sigma} \tilde{\Phi}) i \cancel{D} (\tilde{\Phi}^\dagger \vec{\sigma} L)$$

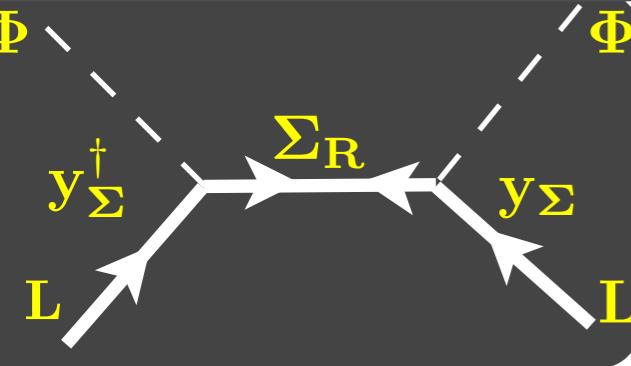
corrections to  $d=4$  KE  
terms of light leptons  
EWSB and their coupling to  $W$

where

$$g^{d=6} = y_\Sigma^\dagger \frac{1}{M_\Sigma^\dagger} \frac{1}{M_\Sigma} y_\Sigma$$

quadratically suppressed

non-unitary low-energy  
leptonic mixing matrix



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corrections to  $d=4$  KE  
terms of light leptons  
EWSB and their coupling to  $W$

where

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quadratically suppressed

non-unitary low-energy  
leptonic mixing matrix

$$U \rightarrow N = \left( 1 + \frac{\epsilon^\Sigma}{2} \right) U \quad NN^\dagger = (1 + \epsilon^\Sigma) \quad N^\dagger N = U^\dagger (1 + \epsilon^\Sigma) U$$

with  $\epsilon^\Sigma \equiv \frac{v^2}{2} g^{d=6}$

$$j_3^\mu(\nu) \equiv \frac{1}{2} \bar{\nu} \gamma^\mu (N^\dagger N)^{-1} \nu \quad j_3^\mu(\ell) \equiv \frac{1}{2} \bar{\ell} \gamma^\mu (NN^\dagger)^2 \ell$$

# About the Seesaw Scale

$\Lambda$  = regulator

cutoff

one-loop contribution to the Higgs mass

$$\delta m_H^2 = -\frac{y_N^\dagger y_N}{16\pi^2} \left[ 2\Lambda^2 + 2M_N^2 \log \frac{M_N^2}{\Lambda^2} \right]$$

[J.A. Casas, J. R. Espinosa and I. Hidalgo, JHEP 11, 057 (2004)]

$$\delta m_H^2 = \frac{1}{16\pi^2} \left[ 3\lambda_3 \left( \Lambda^2 - M_\Delta^2 \log \frac{\Lambda^2}{M_\Delta^2} \right) - 12 |\mu_\Delta|^2 \log \frac{\Lambda^2}{M_\Delta^2} \right]$$

[A. Abada, C. Biggio, F. Bonnet, M. B. Gavela and T. Hambye, JHEP 12, 061 (2007)]

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# About the Seesaw Scale

$\Lambda$  = regulator  
cutoff

one-loop contribution to the Higgs mass

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[J.A. Casas, J.R. Espinosa and I. Hidalgo, JHEP 11, 057 (2004)]

seesaw type II

$$\delta m_H^2 = \frac{1}{16\pi^2} \left[ 3\lambda_3 \left( \Lambda^2 - M_\Delta^2 \log \frac{\Lambda^2}{M_\Delta^2} \right) - 12 |\mu_\Delta|^2 \log \frac{\Lambda^2}{M_\Delta^2} \right]$$

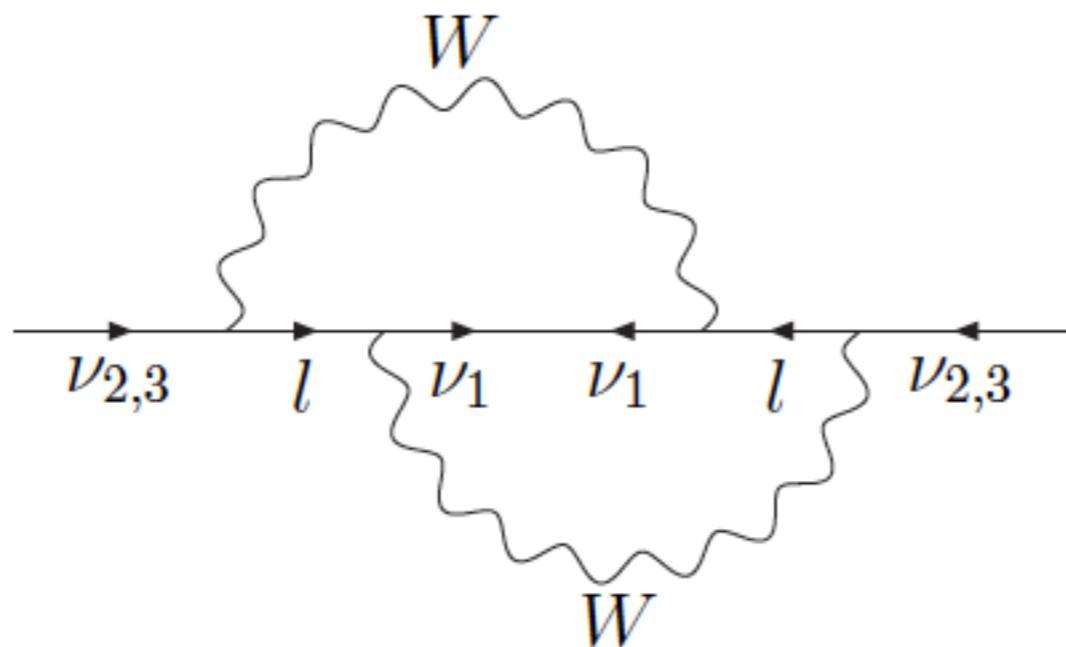
[A. Abada, C. Biggio, F. Bonnet, M. B. Gavela and T. Hambye, JHEP 12, 061 (2007)]

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# RADIATIVE MODELS

[K. S. Babu, E. Ma, Phys. Rev. Lett. 61, 674 (1988)]

add only one  $N_R$  so only  $\nu_1$  gets mass @ tree-level



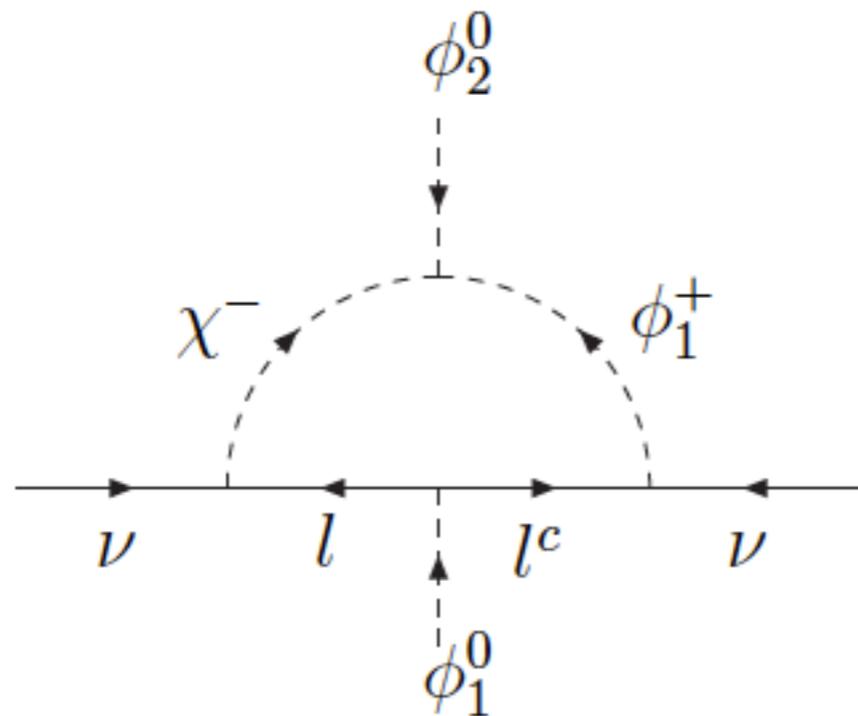
Two-loop origin of neutrino mass

Doubly suppressed by GIM  $\rightarrow$  masses too small!

# RADIATIVE MODELS

[A. Zee, Phys. Lett. 93B, 389 (1980)]

$\Phi_1, \Phi_2$  = scalar doublets     $\chi$  = charged scalar singlet



$\Phi_2$  does not  
couple to leptons

One-loop origin of neutrino mass

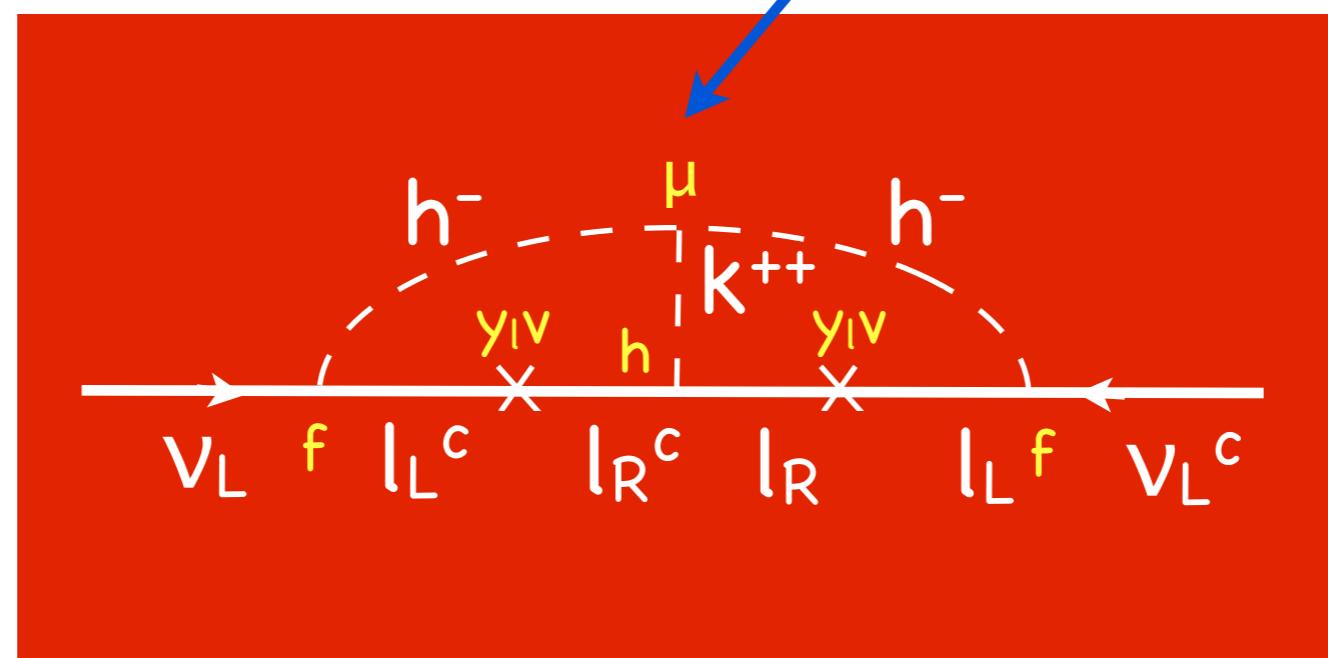
Ruled-out by data ...

# RADIATIVE MODELS

[A. Zee, Phys. Lett. B93, 389 (1980); K. S. Babu, Phys. Lett. B203, 132 (1988); J. T. Peltoniemi and J. W. F. Valle, Phys. Lett. B304, 147 (1993)]

trilinear coupling which breaks B-L

double suppressed  
by lepton mass



$k^{++}$  = doubly-charged scalar

$h^-$  = singly-charged scalar

Two-loop origin of neutrino mass

$$\mathbf{M}_M \sim \mu f y_1 h y_1 f^T v^2 I$$

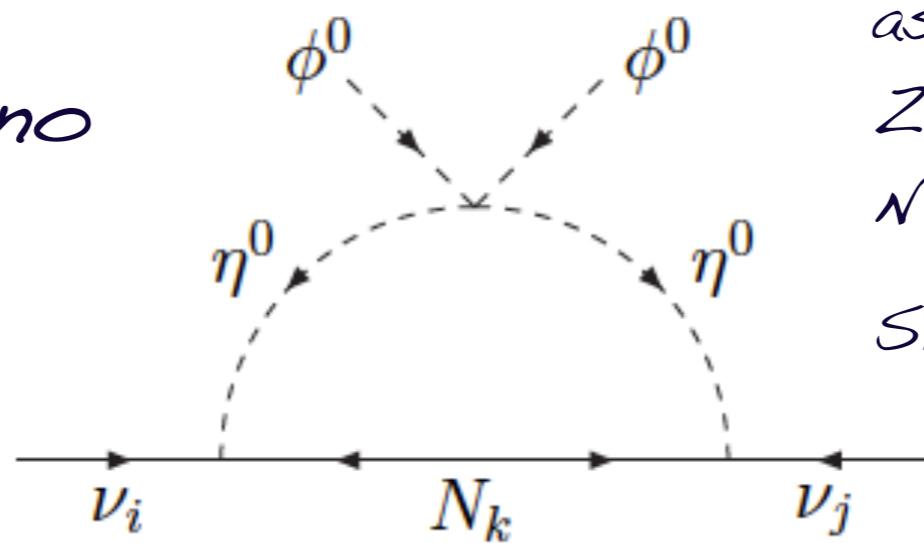
Not yet ruled-out by data ...

# RADIATIVE MODELS

[E. Ma Phys. Rev. D73, 077301 (2006)]

add 3  $N_R$ 's + a new scalar doublet  $\eta$

scotogenic neutrino



assume new conserved  
 $Z_2$  symmetry :  
 $N$  and  $\eta$  odd under  $Z_2$ ,  
SM particles even under  $Z_2$

One-loop origin of neutrino mass

$$(\nu\phi^0 - l\phi^+)N \quad \times$$

$$(\nu\eta^0 - l\eta^+)N \quad \checkmark$$

Not yet ruled-out by data ...

# SUSY & R-parity Violation

[see Y. Grossman and S. Rakshit, Phys. Rev. D 69, 093002 (2004) and Ref. therein]

## Bilinear R-parity violation

- ▶ The general R parity violating superpotential is

$$\frac{1}{2}\lambda_{ijk}\ell_i\ell_j\bar{e}_k + \lambda'_{ijk}\ell_iq_j\bar{d}_k + \frac{1}{2}\lambda''_{ijk}\bar{u}_i\bar{d}_j\bar{d}_k + \epsilon_iH_uL_i$$

- ⇒ We assume that  $\lambda = \lambda' = \lambda'' = 0$ . This requires either an additional symmetry or spontaneous R parity breaking.

- ▶ The superpotential in bilinear R parity violating models is Nowakowski,Pilaftsis,Joshipura,Valle, Romão, Grossman, Nir, Nardi, Banks, Nilles, Polonsky, ...

$$W = \varepsilon_{ab} \left[ h_U^{ij} \widehat{Q}_i^a \widehat{U}_j \widehat{H}_u^b + h_D^{ij} \widehat{Q}_i^b \widehat{D}_j \widehat{H}_d^a + h_E^{ij} \widehat{L}_i^b \widehat{R}_j \widehat{H}_d^a - \mu \widehat{H}_d^a \widehat{H}_u^b + \epsilon_i \widehat{L}_i^a \widehat{H}_u^b \right]$$

- ▶ The relevant bilinear terms in the soft supersymmetry breaking sector are

$$V_{\text{soft}} = m_{H_u}^2 H_u^{a*} H_u^a + m_{H_d}^2 H_d^{a*} H_d^a + M_{L_i}^2 \widetilde{L}_i^{a*} \widetilde{L}_i^a - \varepsilon_{ab} \left( B\mu H_d^a H_u^b + B_i \epsilon_i \widetilde{L}_i^a H_u^b \right)$$

EWSB  $\Rightarrow$   $H_d$ ,  $H_u$  and sneutrinos acquire vev

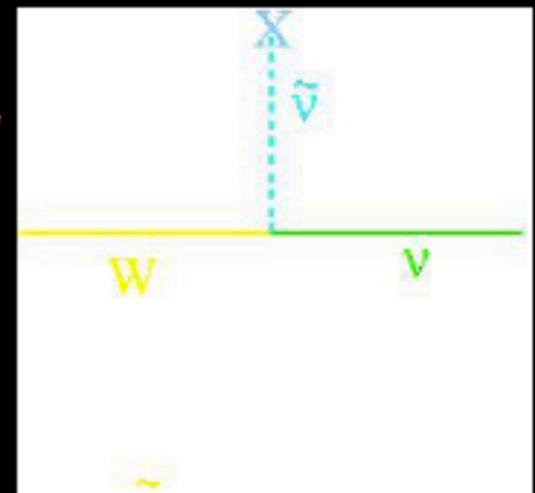
# SUSY & R-parity Violation

- ↳ sneutrino vev's contributes to the mixing between neutrinos and neutralinos. In the basis  $\psi^{0T} = (-i\lambda', -i\lambda^3, \tilde{H}_d^1, \tilde{H}_u^2, \nu_e, \nu_\mu, \nu_\tau)$  the neutral fermion mass matrix is

$$M_N = \begin{bmatrix} \mathcal{M}_{\chi^0} & m^T \\ m & 0 \end{bmatrix} \quad \text{where} \quad m = \begin{bmatrix} -\frac{1}{2}g'v_1 & \frac{1}{2}gv_1 & 0 & \epsilon_1 \\ -\frac{1}{2}g'v_2 & \frac{1}{2}gv_2 & 0 & \epsilon_2 \\ -\frac{1}{2}g'v_3 & \frac{1}{2}gv_3 & 0 & \epsilon_3 \end{bmatrix}$$

- ↳ For  $|\epsilon_i| \ll \mu$  we define  $\xi \equiv m \cdot \mathcal{M}_{\chi^0}^{-1}$ .  $M_N$  is approximately diagonalized by

$$\mathcal{N}^* \simeq \begin{pmatrix} 1 & \xi^\dagger \\ -\xi & 1 \end{pmatrix},$$



$$\mathbf{M}^{\text{eff}} = -m \mathcal{M}_{\chi^0}^{-1} m^T = \frac{M_1 g^2 + M_2 g'^2}{4 \det(M_{\chi^0})} \begin{pmatrix} \Lambda_1^2 & \Lambda_1 \Lambda_2 & \Lambda_1 \Lambda_3 \\ \Lambda_1 \Lambda_2 & \Lambda_2^2 & \Lambda_2 \Lambda_3 \\ \Lambda_1 \Lambda_3 & \Lambda_2 \Lambda_3 & \Lambda_3^2 \end{pmatrix}$$

with  $\Lambda_i = \mu v_i + v_d \epsilon_i$ . This is a low scale see-saw!

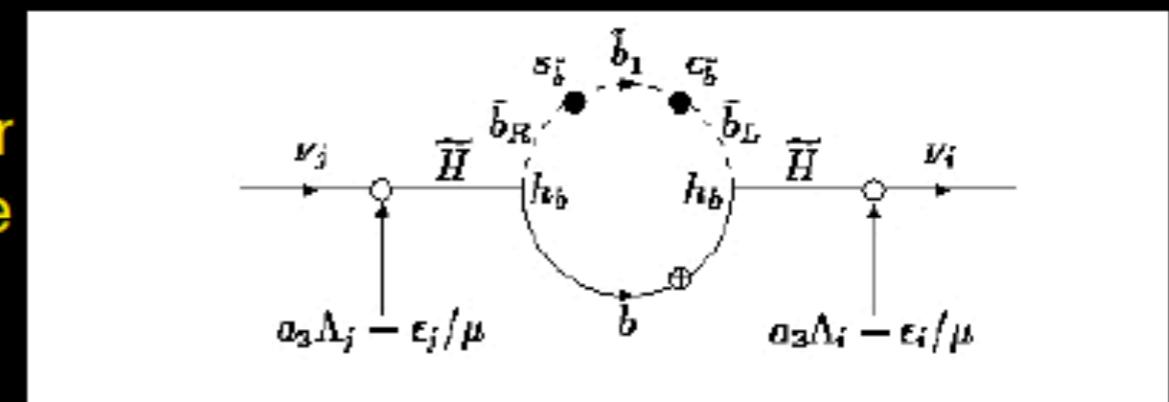
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↳  $M^{\text{eff}}$  exhibits just one massive neutrino at tree level:

$$m_{\nu_3}^{\text{tree}} = \frac{M_1 g^2 + M_2 g'^2}{4 \det(M_{\chi^0})} |\vec{\Lambda}|^2 , \quad \tan \theta_{13} = -\frac{\Lambda_1}{\sqrt{\Lambda_2^2 + \Lambda_3^2}} \quad \text{and} \quad \tan \theta_{23} = -\frac{\Lambda_2}{\Lambda_3} .$$

► The inclusion of radiative correction for the neutral fermion mass matrix gives rise to masses to the three neutrinos.



- ⇒ only one massive neutrino @ tree-level
- ⇒ inclusion of radiative corrections give rise to masses to all 3 neutrinos

# B-L SPONTANEOUSLY BROKEN

[Y. Chikashige, R.N. Mohapatra, R.D. Peccei, Phys. Lett. B98, 265 (1981); PRL 45, 1926 (1980)]

introduce a gauge singlet scalar  $S$

$$\mathcal{L}_y = - \sum_{l,l'} a_{ll'} \bar{N}_{lR}^c N_{l'R} S + \text{h.c.}$$

$L=2$

$\langle S \rangle$  acquires a vev  
global ~~U<sub>B-L</sub>~~

✓  $M_{ll} = a_{ll} \langle S \rangle$  Majorana Mass

✓  $J$  Majoron (Goldstone Boson)

# Extra Flat Dimensions

[K.R. Dienes et al., Nucl. Phys. B557, 25 (1999); N. Arkani-Hamed et al., Phys. Rev D65, 024032 (2002); G.R. Dvali and A.Yu. Smirnov, Nucl. Phys. B563, 63 (1999); R. N. Mohapatra et al., Phys. Lett. B 466, 115 (1999); R.N. Mohapatra and A. Perez-Lorenzana, Nucl. Phys. B576, 466 (2000)]

$$\delta = 1$$

SM particles propagate in the 3-D brane

3 families of SM singlets propagate in the 4-D bulk

$$S = \int d^4x dy i\Psi^\alpha \Gamma_J \partial^J \Psi^\alpha +$$

SM flavor neutrinos →  
 $\int d^4x (i\bar{\nu}_L^\alpha \gamma_\mu \partial^\mu \nu_L^\alpha + \lambda_{\alpha\beta} H \bar{\nu}_L^\alpha \Psi_R^\beta(x, 0) + h.c.)$ 
→ SM singlet bulk fermion fields  
Yukawa couplings to SM H

$\Gamma_J$   $J = 0, \dots, 4$ : the 5D Dirac  $\gamma$  matrices

$$\lambda_{\alpha\beta} = h_{\alpha\beta}/\sqrt{M^*}$$

One can decompose  $\Psi^\alpha(x, y)$  in KK-modes  
 $a$  = radius of the largest compact extra dimension

$$M_{Pl}^2 = (M^*)^{\delta+2} V_\delta$$

$$\Psi^\alpha(x, y) = \frac{1}{\sqrt{2\pi a}} \sum_{N=-\infty}^{\infty} \Psi^{\alpha(N)}(x) e^{iNy/a}$$

# Extra Flat Dimensions

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$$\nu_{\alpha R}^{(0)} \equiv \Psi_{\alpha R}^{(0)}$$

$$\nu_{\alpha L}^{(0)} \equiv \nu_{\alpha L}$$

$$\nu_{\alpha R,L}^{(N)} \equiv \frac{1}{\sqrt{2}} \left( \Psi_{\alpha R,L}^{(N)} \pm \Psi_{\alpha R,L}^{(-N)} \right) \quad N = 1, \dots, \infty$$

$$m_{\alpha\beta}^D = h_{\alpha\beta} v M^*/M_{\text{Pl}} \quad \text{Naturally Small Dirac Mass Term}$$

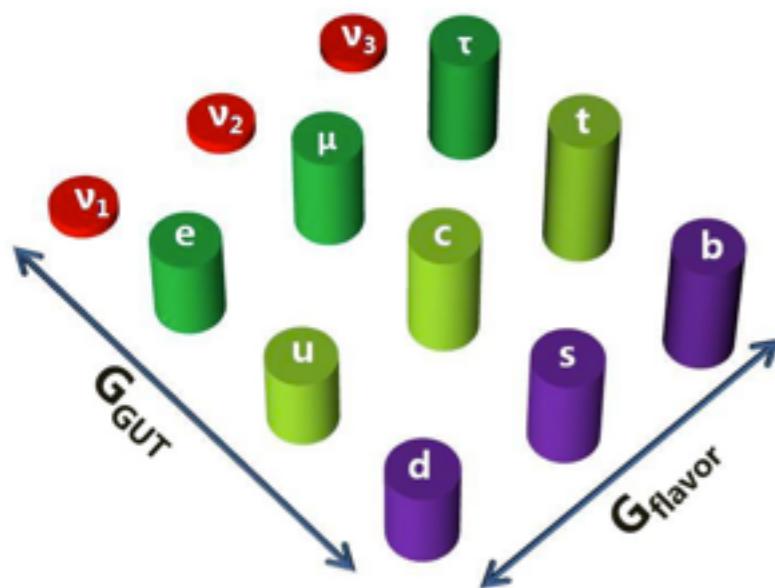


$$\sum_{\alpha, \beta} m_{\alpha\beta}^D \left[ \bar{\nu}_{\alpha L}^{(0)} \nu_{\beta R}^{(0)} + \sqrt{2} \sum_{N=1}^{\infty} \bar{\nu}_{\alpha L}^{(0)} \nu_{\beta R}^{(N)} \right] + \sum_{\alpha} \sum_{N=1}^{\infty} \frac{N}{a} \bar{\nu}_{\alpha L}^{(N)} \nu_{\alpha R}^{(N)}$$

$$+ \frac{g}{\sqrt{2}} \sum_{\alpha} \bar{l}_{\alpha} \gamma^{\mu} (1 - \gamma_5) \nu_{\alpha}^{(0)} W_{\mu} + \text{h.c.}$$

# Flavor Models

try to describe the structure of masses



Enforce a GF symmetry

- ◆ non-Abelian
- ◆ discrete
- ◆ global
- ◆ commute w/ gauge group
- ◆ spontaneously broken @ high energies

need to extend the scalar sector (Flavon Fields)

$G_F = A_4, S_4, D_n$  etc..

many models ...

☞ constrains Yukawas : the pattern of mass and mixing

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- ☞ Neutrinos are the only known particles that can have Majorana Mass violating L
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- ☞ The basic seesaw mechanisms are elegant ways to implement small  $\nu$  masses in models beyond SM

But there are other ways ...

## Consequences of $\nu$ masses & mixings

- Decay:  $\nu_2 \rightarrow \nu_1 \gamma$  happens in all models  
(harmless if  $m_\nu <$  few eV)
- $\mu \rightarrow e \gamma$  happens in all models  
(constrain models where  $m_\nu$  is of rad. origin)
- Some models have new particles ~ few  $\times$  100 GeV / LNV interactions that can be observed @ LHC
- Leptogenesis is possible (next week)